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PTO/SB/21 (08-00)

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 10/083,853

Filing Date February 26, 2002

First Named Inventor Shigeta et al.

Group Art Unit 1647

Examiner Name Christopher J. Nichols

Total Number of Pages in This Submission

Attorney Docket Number 3385.1

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### ENCLOSURES (check all that apply)

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☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/ Incomplete Application

☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Assignment Papers (for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☒ CD, Number of CD(s) 1

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☐ Other Enclosure(s) (please identify below):

Return Receipt Postcard;  
Preliminary Amendment (11 pp);  
10 pp. of Amended Figs. of Sequence Listings in Red Ink;  
Submission of Formal Dwgs (1 pg);  
10 pp. of Substitute Figs. of Sequence Listings;  
Copy of Notice to Comply with Requirements ...

Remarks

The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account No. 01-0431.

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Thomas Mahone

Signature

Thomas Mahone

Date

1/24/03

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 1/24/03

Typed or printed name

Sylvia Rogers

Signature

Sylvia Rogers

Date

1/24/03



**PATENT**  
Attorney Docket No. 3385.1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Shigeta Jr. et. al.

Serial No: 10/083,853

Filing Date: February 26, 2002

Title: **NUCLEIC ACID ENCODING  
GROWTH FACTOR PROTEIN**

Examiner: Christopher Nichols

Group Art Unit: 1647

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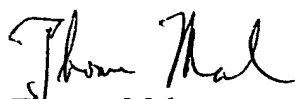
Commissioner for Patents  
Washington, D.C. 20231

**SUBMISSION OF SUBSTITUTE FORMAL DRAWINGS**

Dear Sir:

Transmitted herewith is Substitute Figures 1a and 1b, consisting of ten sheets (10), for filing in the subject patent application. Acceptance of this Substitute Figure is respectfully requested.

Respectfully submitted,

  
Thomas Malone  
Reg. No.: 40,078

Date: 1/24/03

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